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# Mutation bias reflects natural selection in *Arabidopsis* thaliana

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# Abstract

Since the first half of the twentieth century, evolutionary theory has been dominated by the idea that mutations occur randomly with respect to their consequences<sup>1</sup>. Here we test this assumption with large surveys of de novo mutations in the plant *Arabidopsis thaliana*. In contrast to expectations, we find that mutations occur less often in functionally constrained regions of the genome—mutation frequency is reduced by half inside gene bodies and by two-thirds in essential genes. With independent genomic mutation datasets, including from the largest *Arabidopsis* mutation accumulation experiment conducted to date, we demonstrate that epigenomic and physical features explain over 90% of variance in the genome-wide pattern of mutation bias surrounding genes. Observed mutation frequence around genes in turn accurately predict patterns of genetic polymorphisms in natural *Arabidopsis* accessions (r = 0.96). That mutation bias is the primary force behind patterns of sequence evolution around genes in natural accessions is supported by analyses of allele frequencies. Finally, we find that genes subject to stronger purifying selection have a lower mutation rate. We conclude that epigenome-associated mutation bias<sup>2</sup> reduces the occurrence of deleterious mutations in *Arabidopsis*, challenging the prevailing paradigm that mutation is a directionless force in evolution.

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# Main

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genetic drift; that previous evidence of non-random mutational patterns relied on analyses in natural populations that were confounded by the effects of natural selection; and that past proposals of adaptive mutation bias have not been framed in the context of potential mechanisms that could underpin such non-random mutations<sup>3,4,5,6</sup>.

Yet, emerging discoveries in genome biology inspire a reconsideration of classical views. It is now known that nucleotide composition, epigenomic features and bias in DNA repair can influence the likelihood that mutations occur at different places across the genome<sup>7,8,9,10,11,12,13</sup>. At the same time, we have learned that specific gene regions and broad classes of genes, including constitutively expressed and essential housekeeping genes, can exist in distinct epigenomic states<sup>14</sup>. This could in turn provide opportunities for adaptive mutation biases to evolve by coupling DNA repair with features enriched in constrained loci<sup>2</sup>. Indeed, evidence that DNA repair is targeted to genic regions and active genes has been found<sup>15,16,17,18,19,20</sup>. Here we synthesize these ideas by investigating the causes, consequences and adaptive value of mutation bias in the plant *Arabidopsis thaliana*.

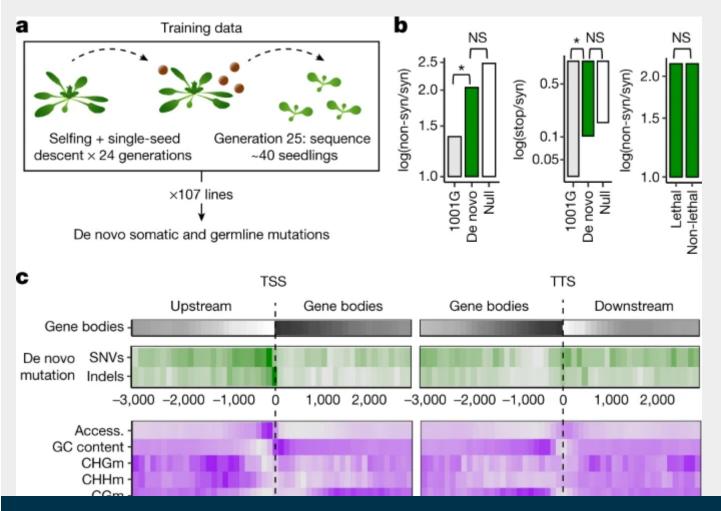
# De novo mutations in Arabidopsis

The greatest barrier to investigating gene-level mutation variability has been a lack of data characterizing new mutations before they experience natural selection. We addressed this limitation by compiling large sets of de novo mutations in *A. thaliana* (hereafter referred to as *Arabidopsis*), for

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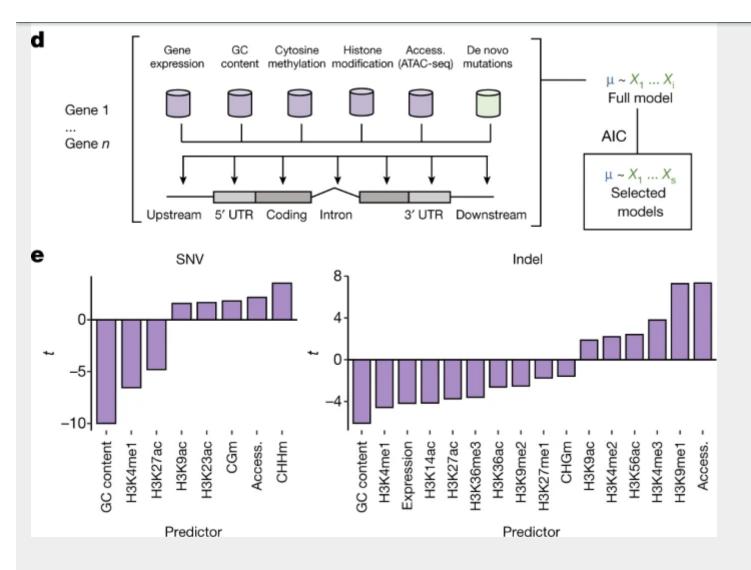
for a greater share of variants than in natural populations, and their frequencies were indistinguishable from a null model of random mutation. We also confirmed that there was no bias in detecting nonsynonymous mutations when comparing genes predicted to be sensitive or insensitive to mutation (Fig. 1b).





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**a**, Experimental design for identifying germline and somatic mutations in the main dataset<sup>12</sup>. **b**, Relaxed purifying selection in de novo mutation calls: rates of non-synonymous (non-syn) and stop codon variants (stop) as compared with polymorphisms detected in 1,135 natural accessions from the 1001 Genomes (1001G) project<sup>35</sup> and to a null model based on mutation spectra and nucleotide composition of coding sequences. **Your Privacy** 

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sequencing; AIC, Akaike information criterion. **e**, Predictive models and *t*-values of predictor variables from the generalized linear model.

# **Epigenome-mediated mutation bias**

We tested whether the location of mutations in our dataset was associated with epigenomic features, focusing on biochemical properties previously linked to mutation: gene expression, GC content, cytosine methylation, histone modifications and chromatin accessibility (Fig. 1c). We built linear models of mutation frequencies in genic regions as a function of these features across the genome (Fig. 1d; Methods).

These models revealed features positively and negatively associated with mutations, with several having been already linked to mutagenesis or DNA repair (Fig. 1e). For example, the negative relationship between GC content and mutation<sup>23</sup> is consistent with GC-biased gene conversion<sup>24</sup> and reduced DNA denaturation in GC-rich regions<sup>25</sup>. Likewise, previous work has linked H3K4me1 to genome stability, DNA repair and lower mutation rates<sup>26,27,28,29,30,31</sup>. By contrast, methylated cytosines correlate with elevated mutation rate, consistent with the effects of cytosine deamination<sup>12,32</sup>, while highly accessible chromatin regions (for example, transcription factor-binding sites) can impair nucleotide excision repair<sup>33</sup>. In conclusion, we uncovered associations between

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observed mutation biases could not be explained by variation in read depth, mappability, the distribution of false positives or selection on mutations (Extended Data Fig. 3).

# Lower mutation rate in gene bodies

We calculated predicted mutation probabilities (predicted mutations per base pair) as a function of epigenomic features around genes and found that mutation rates were lower within gene bodies (Fig. 2a). These predictions were confirmed by observed mutations in multiple independent datasets (Fig. 2b, Supplementary Data 1). We called mutations in new *Arabidopsis* mutation accumulation populations, the largest reported to date: germline and somatic mutations in 400 lines established from eight genetically diverse founder genotypes, four each from the extreme North and South of Europe. Observed distributions of germline and somatic mutations were very similar to epigenome-predicted mutation rates. These data also provided evidence for genetic variation in mutation bias, raising the possibility of mutation bias evolvability (Extended Data Fig. 4). Somatic variants identified from 10 rosettes and from reanalysing deep sequencing data of 64 leaves in two *Arabidopsis* plants<sup>21</sup> further confirmed predicted patterns, as did previously discovered germline mutations in a bottlenecked *Arabidopsis* lineage<sup>32</sup> (Extended Data Figs. 3, 4).

#### Fig. 2: Lower mutation rate in gene bodies.

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**a**, Mutation probability score (predicted SNVs plus indels per base pair from models in Fig. 1e; mean  $\pm 2$  s.e.m. in grey) based on epigenomic states and mutations observed in original mutation accumulation lines. **b**, Observed de novo mutations from all independent mutation accumulation datasets (mean  $\pm 2$  s.e.m. in grey, bootstrapped). **c**, Segregating polymorphisms (SNVs plus indels, *S*, mean  $\pm 2$  s.e.m. in grey, bootstrapped) in 1,135 *Arabidopsis* accessions<sup>35</sup>. **d**, Tajima's *D* calculated from polymorphisms in *Arabidopsis* accessions<sup>35</sup> around TSS and TTS (mean  $\pm 2$  s.e.m. in grey). Note that these TSS and TTS plots do not consider gene length or intergenic distances and that, for example, not all sequences downstream of TSSs are genic sequences, and not all sequences upstream of TSSs are intergenic sequences. Specifically, we did not distinguish between intergenic regions (or genes) longer or shorter than 3,000 bp.

By combining mutation datasets, we found that the frequency of mutation was 58% lower in gene bodies than in nearby intergenic space. Epigenome-predicted mutation probabilities explained over 90% of the variance in the pattern of observed mutations around gene bodies (Fig. 2a, b, Extended Data Fig. 5). Since only 20–30% of gene body sites are estimated to be subject to selection, mutation

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Our analysis of the site frequency spectrum statistic Tajima's *D* around genes confirmed a depletion of rare alleles in gene bodies (less negative *D*), consistent with a reduced mutation rate. We validated this inference with extensive forward population genetic simulations (Extended Data Fig. 6). In conclusion, evolution around genes in *Arabidopsis* appears to be explained by mutation bias to a greater extent than by selection.

# Gene structure and mutation

We further discovered emergent relationships between gene structure and mutation rate (Extended Data Fig. 7). Owing to the distribution of epigenomic features along gene bodies, mutation probabilities are highest in extreme 5' and 3' coding exons. Natural polymorphisms in *Arabidopsis* and *Populus trichocarpa* showed a similar pattern. Consistent with the effects of mutation bias, *D* was more negative in peripheral exons. The predicted mutation rate of coding regions was 28% and 39% higher in genes annotated as lacking 5' untranslated regions (UTRs) and 3' UTRs, respectively. The inferred effect size of 5' UTRs and 3' UTRs on coding-exon mutation probabilities and polymorphism was greatest in extreme 5' and 3' coding exons. UTR lengths were negatively correlated with mutation probabilities and polymorphisms in peripheral coding exons. Mutation probabilities were also 90% greater in genes lacking introns and lower in genes with more (r = -0.34) and longer (r = -0.24) introns. These patterns were mirrored by patterns of polymorphism and Tajima's *D*. In conclusion, an unexpected emergent effect of UTRs and introns in *Arabidopsis* appears to be lower mutation rates in coding regions.

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measured with knockout experiments<sup>37</sup> confirmed that essential genes are enriched for epigenomic features associated with low mutation, and, as predicted, observed mutation rates were significantly lower in the coding regions of essential genes. By contrast, genes with environmentally conditional functions had the highest mutation rates. Intron mutations showed the same pattern, confirming that these results are not due to selection on coding sequences biasing our mutation datasets (Fig. 3c). We found no evidence that reduced mutation rate in essential genes could be explained by the potential intrinsic mutational properties of CG methylation, expression level or GC content. Instead, the observed 37% reduction in mutation rates in essential genes is consistent with a reduction in mutation, plausibly explained by their enrichment for low-mutation-associated epigenomic features (for example, H3K4me1).

Fig. 3: Lower mutation probability in essential genes.

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**a**, Variation in epigenome-derived mutation probability scores in coding sequence (CDS) among genes and gene ontology terms enriched in genes in the top ('high-mutation probability genes') and bottom ('low-mutation probability genes') deciles. Mm., macromolecular; N<sub>2</sub>, nitrogen; nucleob., nucleobase-containing compound; reg., regulation; resp., response. **b**, Enrichment of epigenomic and other features in coding sequences of 719 genes known to be essential from mutant analyses (mean ± 2 s.e.m.). **c**, Total observed mutation rate (±2 s.e.m., bootstrapped) in genes (n = 2,339) with experimentally determined functions<sup>38</sup>. The bars are coloured according to relative differences in mutation rates among genes classified by function (that is, orange refers to high mutation rate and blue represents low mutation rate).  $P \approx 0$  for both CDS and intron mutations.

These results were further supported by our discovery of reduced mutation rate in genes with lethal knockout effects<sup>38</sup> and broadly expressed genes<sup>39</sup>. Again, these results were consistent with epigenomic profiles (Extended Data Fig. 8). In conclusion, we find that genes with the most important functions experience reduced mutation rate, as predicted by their epigenomic features.

# **Reduction in mutation load**

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Data Fig. 9). In conclusion, mutation bias acts to reduce levels of deleterious variation in *Arabidopsis* by decreasing mutation rate in constrained genes.

# Fig. 4: Adaptive reduction in deleterious mutations.

**a**, Correlations between epigenomic and other features, predicted and observed mutation rates, and measures of evolutionary constraint and rates of sequence evolution. Synonymous (Ps) and non-synonymous polymorphism (Pn) in natural populations, synonymous (Ds) and non-synonymous divergence (Dn) from *Arabidopsis lyrata*, environmental variance of gene expression (Ve expr.) and genetic variance of gene expression (Vg expr.). 'Pred. mut.' is the predicted mutation rate as a function of

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# **Evolution of mutation bias**

Our findings reveal adaptive mutation bias that is mediated by a link between mutation rate and the epigenome. This is mechanistically plausible in light of evidence that DNA repair factors can be recruited by specific features of the epigenome<sup>8</sup>. Hypomutation targeted to features enriched in functionally constrained loci throughout the genome would reduce the relative frequency of deleterious mutations. The adaptive value of this bias can be conceptualized by the analogy of loaded dice with a reduced probability of rolling low numbers (that is, deleterious mutations), and thus a greater probability of rolling high numbers (that is, beneficial mutations) (Fig. 4d).

This intuitive model fits established theory showing that adaptive mutation bias could evolve despite drift when the length of sequence affected ( $L_{segment}$ ) is large<sup>2,3,5,40</sup>. While this criterion can rarely be satisfied for single-gene modifiers, it can be if the mutation is suppressed in many constrained loci. For example, the total sequence length of the coding regions of essential genes enriched for H3K4me1 is three times the estimated minimum  $L_{segment}$  required for targeted hypomutation to evolve in *Arabidopsis*, assuming a 30% reduction in mutation rate (Extended Data Fig. 10). Thus, while perhaps initially surprising, our synthesis between epigenomics and population genetic theory predicts that the observed biases could readily arise via natural selection<sup>2</sup>.

# Conclusions

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Our discovery yields a new account of the forces driving patterns of natural variation, challenging a long-standing paradigm regarding the randomness of mutation and inspiring future directions for theoretical and practical research on mutation in biology and evolution.

# Methods

# Identification of de novo mutations in A. thaliana Col-0 mutation accumulation lines

Our training set of mutations was identified from 107 mutation accumulation lines of the *A. thaliana* Col-0 accession, which is the basis of the *A. thaliana* TAIR10 reference genome sequence<sup>12</sup>. The lines had been previously grown for 24 generations of single-seed descent before sequencing with 150-bp paired-end reads on the Illumina HiSeq 3000 platform, of pools of approximately 40 seedlings of each line from the 25th generation (Fig. 1a). Seedlings were sampled at the four-leaf stage, at 2 weeks of age. Variants were identified with GATK HaplotypeCaller<sup>12</sup>. In many organisms, germline mutations are primarily influenced by processes specific to reproductive organs<sup>10</sup>. Because plants may lack a completely segregated germline<sup>46</sup>, we hypothesized that mechanisms that influence local mutation rates in the germline may be reflected in the distribution of somatic mutations as well, or at least that the processes governing mutation rate variability across the genome may be similar in germline and somatic tissue. Therefore, in addition to the original variants called<sup>12</sup>, we implemented a custom filtering pipeline to identify a high-confidence set of additional de novo mutations (Extended Data Fig.

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somatic and germline mutations to characterize the mutational landscape of the *A. thaliana* genome, this did not have an obvious effect on our results.

#### Testing for mutation calling artefacts by resequencing ten siblings of a single-mutation accumulation line

To test for the possibility that our results were in part artefacts of the pooled-seedling sequencing approach<sup>12</sup>, we resequenced entire rosettes of individual plants that were sibling from the same mutation accumulation line (#73) and asked whether the distribution of called variants (that is, putative somatic mutations around TSS and TTS) was similar to the patterns seen with the seedling pools of the 107 individual lines described in the preceding section (Extended Data Fig. 6). Specifically, we grew 10 siblings of line #73 and extracted DNA from 3-week-old whole rosettes. Barcoded PCR-free libraries for the 10 siblings were sequenced, with 150-bp paired-end reads, at approximately  $60 \times$  depth each on a single lane of the Illumina HiSeq 3000 platform. Additionally, for one sibling, the same library was sequenced in an independent lane at approximately  $600 \times$  depth. After adapter and quality trimming with cutadapt (version 2.3) and removing duplicates with samtools markdup (version 1.10), reads were aligned to the TAIR10 reference genome with bwa-mem (version 0.7.17) and variants were called independently for each sample with GATK HaplotypeCaller version 4.1.0.

#### Measuring the effects of mappability of reads

We wanted to ensure that variation in mappability could not explain the observed distribution of de novo variants. To evaluate the possibility that results were an artefact of bias in mappability across gene regions, we calculated mappability for k = 100, e = 1, across the *A. thaliana* reference genome

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#### Simulating reads and identifying true false positives

To further rule out artefacts, we calculated the expected distribution of false positives using simulated short reads. We simulated Illumina reads based on the TAIR10 reference genome using ART<sup>48</sup> with the following parameters: -1 150 -f 30 -m 500 -s 30. Reads were mapped to the TAIR10 genome with NextGenMap, the same caller as used in the original calling of mutation accumulation lines<sup>49</sup>, and variants were called with GATK HaplotypeCaller. This was repeated for a total of 1,000 simulated genomes. Because these are simulated reads, all variants that are called must be false positives. To test the possibility that the main results found in this study, such as elevated mutation and polymorphism upstream of TSSs, are artefacts of bias resulting from Illumina sequencing (which is included in simulations) or from mapping error (which is captured by mapping the simulated reads), we plotted the distributions of false positives around these regions to confirm that the distribution of false positives was more similar to likely false positives (for example, called in many lines) and unlike the higher confidence variants called in real sequencing data.

# Identification of de novo mutations in a new *A. thaliana* mutation accumulation experiment

To validate our predictive model of the mutation probability score, we used a second *A. thaliana* mutation accumulation experiment descended from eight founders collected in natural environments<sup>50</sup>. The lines were grown for seven to ten generations of single-seed descent before 150-bp paired-end read Illumina sequencing of pools of 40 seedlings. The specifics of the populations were as follows: founder CN1A18: 56 lines for 10 generations; founder CN2A16: 51 lines for 10

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from a single founder genotype. This should remove any true heterozygous calls, variants between cryptic duplications in the founder, and low confidence calls, as suggested by our preceding analyses by resequencing siblings from the original mutation accumulation experiment.

# Identification of de novo somatic mutations in a resequencing dataset of *A. thaliana* leaves

To further test our power to predict the distribution of de novo mutations in an independent experiment, we used published data generated from Illumina sequencing of 64 samples of leaf tissue (rosettes and cauline leaves) of two Col-0 plants<sup>21</sup>. Raw fastq files were downloaded from NCBI and mapped to the TAIR10 reference genome using bwa-mem, and duplicate reads (that is, PCR duplicates) were filtered using samtools markdup. Variants for every sample were called with GATK HaplotypeCaller. Variants were filtered to include only those found in a single sample (as our previous work had already shown that putative somatic variants called in many independent samples tend to be enriched for regions of low mappability and exhibit distributions more similar to the expected distribution of false positives).

#### De novo mutations in a natural mutation accumulation lineage

We analysed mutations that had accumulated in a single *A. thaliana* lineage that recently colonized North America<sup>32</sup>. The 100 samples came both from modern populations as well as historical herbarium specimens and contained 8,891 new variants with at least 50% genotyping rate in the population. Phylogenetic coalescent analyses indicated that these 100 samples shared a common

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we extracted or generated data describing genome-wide sequence and epigenomic features. First, we calculated GC content (% of sequence), which can affect DNA denaturation<sup>5,25,56,57,58</sup>, across regions<sup>9,23,59,60,61,62,63,64</sup>. From the Plant Chromatin State Database, we also downloaded 62 BigWig formatted datasets characterizing the distribution of histone modifications<sup>14</sup> H3K4me2, H3K4me1, H3K4me3, H3K27ac, H3K14ac, H3K27me1, H3K36ac, H3K36me3, H3K56ac, H3K9ac, H3K9me1, H3K9me2 and H3K23ac, many of which have been linked to mutational processes<sup>8,9,11,12,19,33,65,66,67,68,69,70</sup>. For each specific histone modification, depths were scaled (0 to 1) and averaged across each region for downstream analyses.

#### Col-0 cytosine methylation

Because cytosine methylation is known to affect mutation rates via deamination of methylated cytosines<sup>9,11,12,33,66</sup>, we wanted to include cytosine methylation as a predictor variable in our model. Methylated cytosine positions for Col-0 (6909) wild-type leaves were obtained from the 1001 Epigenomes dataset GSM1085222 (ref. <sup>71</sup>) under the file GSM1085222\_mC\_calls\_Col\_0.tsv.gz. Because the context of cytosines can vary and influence the functional effect of methylation, cytosines were further classified into three categories (CG/CHG/CHH) for all downstream analyses. For each region, we calculated the number of methylated cytosines in each category per bp.

#### Chromatin accessibility

ATAC-seq can measure chromatin accessibility, which also affects mutation rates<sup>9,11,12,33,66,72</sup>. Col-0 seeds were stratified on MS-agar (with sucrose) plates at 4 °C for 4 days in the dark. Plates were

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fluorescence-activated cell sorting (FACS) as two technical replicates. Sorted nuclei were heated to 60 °C for 5 min, followed by centrifugation at 4 °C (1,000g for 5 min). Supernatant was removed, and the nuclei were resuspended with a transposition mix (homemade Tn5 transposase, a TAPS-DMF buffer and water) followed by a 37 °C treatment for 30 min. 200  $\mu$ l SDS buffer and 8  $\mu$ l 5 M NaCl were added to the reaction mixture, followed by 65 °C treatment overnight. Nuclear fragments were then cleaned up with Zymo DNA Clean & Concentrator columns. 2  $\mu$ l of eluted DNA was subjected to 13 PCR cycles, incorporating Illumina barcodes, followed by a 1.8:1 ratio clean-up using SPRI beads. Genomic DNA libraries were prepared using the same library preparation protocol from the Tn5 enzymatic digestion step onwards.

Each technical replicate (derived from nuclei sorting) was sequenced with 3.5 million 150-bp pairedend reads on an Illumina HiSeq 3000 instrument. The reads were aligned as two single-end reads to the TAIR10 reference genome using bowtie2 (default options), filtered for the SAM flags 0 and 16 (only reads mapped uniquely to the forward and reverse strands), and converted separately to .bam files. The .bam files were merged, sorted, and PCR duplicates were removed using picardtools. The sorted .bam files were merged with the corresponding sorted bam file of a second technical replicate (samtools merge --default options) to obtain a final depth of approximately 6 million reads for each replicate.

Peaks were called for each biological replicate using MACS2 using the following parameters:

macs2 callpeak -t [ATACseqlibrary].bam -c [Control\_library].bam -f BAM --nomodel --shift -50 --

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Gene expression was calculated as the mean across 1,203 accessions<sup>71</sup>, from which we also extracted the genetic variance (Vg) and environmental variance (Ve) as well as the coefficient of variation (variance/mean) in expression for each gene. This dataset provided information for 17,247 genes with complete data.

#### Predictive model of mutation rates

We wanted to ask whether intragenomic mutation variability in the genome could be predicted by features of the genome that previous work had shown to have potential or demonstrated relationships with mutations. To model mutation rate genome-wide at the level of individual genes, we created a generalized linear model. The response variable was the untransformed (that is, assuming normality, to avoid risk of increased false positives caused by transformation<sup>73,74</sup>) observed mutation rate across every genic feature (upstream, UTR, coding, intron and downstream). The predictor variables were GC content, classes of cytosine methylation, histone modifications, chromatin accessibility and expression of each gene. From this full model, a limited predictive model was selected on the basis of forward and backward selection with the lowest AIC value by the stepAIC function in R. These models were created separately for indels (adjusted R-squared: 0.001791; F-statistic: 34.6 on 16 and 299635 d.f.;  $P < 2.2 \times 10^{-16}$ ) and SNVs (adjusted *R*-squared: 0.0009687; *F*-statistic: 37.32 on 8 and 299643 d.f.;  $P < 2.2 \times 10^{-16}$ ). For downstream analyses, we used the predicted mutation probability (the mutation probability score) based on these models (predicted SNVs + indels) for genes, exons and other regions of interest from the TAIR10 genome annotation. While the linear regression approach used here enables hypothesis testing to some extent (one can generate confidence intervals and P values describing the level of significance of individual effects) our primary goal was to create a

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vif function from the R package car to calculate variance inflation factor scores for each variable in our best AIC models for SNVs and indels. We then removed all variables with scores below 3. We recalculated mutation probability scores for every genomic feature. Because the resulting predicted mutation probability scores were very similar, with Pearson correlation r = 0.95 between gene-level mutation probability scores from the full model and the reduced model, we report only results based on the full model.

# Analysis of natural polymorphism rates Rates of polymorphism among genic exons

We calculated rates of natural polymorphism across exons in TAIR10 gene models from sequence variation among 1,135 natural *A. thaliana* accessions<sup>35</sup>. These analyses revealed elevated polymorphism rates in peripheral (first and last) exons. To test whether this is an artefact unique to *A. thaliana*, we calculated rates of natural polymorphism across exons from sequence variation among 544 *P. trichocarpa* accessions<sup>75</sup>. Specifically, we downloaded VCF and annotation data from Phytozome (v3.0) and calculated rates of variation across exons grouped by order (from 5' to 3') and total exon number.

#### Signatures of selection and constraint from natural populations

We calculated gene-level summary statistics for signatures of selection and constraint in the following way. Synonymous and non-synonymous polymorphism among natural *A. thaliana* accessions and divergence from *A. lyrata* (Pn, Ps, Dn and Ds, respectively) were calculated using mkTest.rb (https://github.com/kr-colab). The alpha test statistic for evidence of selection, which is a derivative of

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defined as premature stop codons and frameshifts disrupting at least 10% of the coding region of the canonical gene model. Genes experiencing purifying selection should exhibit lower levels of natural polymorphism than what would be predicted by mutation rate alone. To test this, we built a linear model of coding region polymorphisms as a function of predicted mutation rates. We calculated scaled residuals for each gene and tested whether they are more negative in genes expected to be under purifying selection. To estimate constraints on gene regulatory function, we looked at average expression across diverse genotypes. We also tested for relationships between predicted mutation rates and the coefficient of variation in gene expression, additive genetic variance for gene expression across diverse genotypes, and environmental variance in gene expression<sup>71</sup>.

#### Relationships between epigenomic and other features, mutation rates and gene function

The preceding analyses revealed significant associations between epigenomic and other features and signatures under selection indicating that genes that experience purifying selection are enriched for features associated with low mutation rate. To further dissect the mechanistic basis of this pattern, we wanted to directly test for relationships between epigenomic states, mutation rates and gene function. We analysed gene ontology categories for genes in the top and bottom deciles ranked by predicted mutation rate<sup>81</sup>, reporting gene ontologies that were significantly enriched in these groups after Bonferroni adjustment of raw *P* values.

We also analysed a manually curated dataset of mutation-induced lethality obtained from phenotyping lines with loss-of-function mutations<sup>37</sup>. Genes annotated as lethal effect when mutated (that is, required for viability) were compared with genes showing non-lethal phenotypic effects to assess

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functions were enriched for features associated with reduced mutation, whereas genes annotated as having non-essential functions were depleted for these features.

# Estimating selection on different types of de novo mutations

Synonymous, non-synonymous and stop-gained variants are expected to have different effects on gene function, although they are of the same mutational class (SNVs). They are all from coding regions, which have an overall mutation probability that is distinct from other regions of the genomes, such as introns, in our model of de novo mutations. For comparison, we calculated the rates of synonymous, non-synonymous and stop-gained SNVs in natural populations of *A. thaliana*, which have been subject to long-term natural selection. We also derived an expected null ratio of non-synonymous to synonymous mutations using knowledge on the relative base composition of all coding regions in the reference genome, the relative proportion of coding region mutations (for example, CG to TA mutations are most common), and the proportion of all possible codon transitions that lead to synonymous versus non-synonymous mutations. Ratios of non-synonymous to synonymous and stop-gained to synonymous mutations or the null expectation by chi-squared tests.

#### Expected non-synonymous-to-synonymous substitution ratios in the absence of selection

To further validate that the observed de novo mutations we used to train our mutation probability model were not subject to appreciable selection, we simulated 10,000 de novo mutations across the *Arabidopsis* genome with custom scripts in R. Mutations in coding regions were randomly assigned to

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Because we had found that observed mutations were less frequent in coding regions, we wanted to determine whether this difference was significantly higher than expected by chance. We therefore asked how the number of synonymous mutations observed compared with that expected under a random process, starting with a simulated set of random mutations across the genome. We calculated the number of these mutations in coding regions that are expected to lead to a synonymous nucleotide substitution based on codon use and observed mutational spectra of coding regions. We repeated this simulation 1,000 times to generate a distribution of expected synonymous mutations. Comparing our observed de novo synonymous mutations to the mean of this distribution, we calculated the reduction in the observed synonymous mutation rate.

#### Non-synonymous-to-synonymous ratios and mutation probabilities in more deleterious ('lethal effect versus non-lethal effect') genes

We wanted to test whether the rates of non-synonymous-to-synonymous variation were lower in genes that are predicted to experience stronger negative selection. We split genes with a high-essentiality and low-essentiality prediction score (see above) or empirically determined lethal versus non-lethal effects of loss-of-function alleles (see above)<sup>37</sup>. We then calculated the differences in the observed mutation rate between these groups of genes and compared them with a *t*-test. We also calculated the number of observed non-synonymous and synonymous SNVs in these groups of genes and compared their ratios by a chi-squared test.

#### Non-synonymous-to-synonymous ratios in mutation probability deciles

We wanted to test whether mutation probability deciles predicted by our model differed in their rates of non-synonymous to synonymous mutations in our observed de novo mutations. If there was a

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#### Minor allele frequencies in natural populations

Our results had indicated that mutation rates were high upstream and downstream of genes relative to the gene bodies, not only in observed and predicted de novo mutations but also in natural polymorphisms. If this pattern was driven by mutation bias, we would expect to see lower minor allele frequencies upstream and downstream of genes, because this would indicate the presence of newly derived alleles from recent mutation rather than lower minor allele frequency caused by greater negative selection since we expect a priori that gene bodies (particularly coding regions whose code makes them sensitive to mutation) are subject to greater constraint. Conversely, lower minor allele frequencies in gene bodies would be consistent with the action of purifying selection in gene bodies, because lower allele frequencies are expected when negative selection had an opportunity to reduce allele frequencies. We therefore calculated the minor allele frequency (vcftools --freq) and their mean for every polymorphic position in the genome of 1,135 natural *A. thaliana* accessions<sup>35</sup> in relation to TSSs and TTSs across the entire genome.

#### Tajima's Daround gene bodies

Tajima showed that reduced mutation and purifying selection, while having the same effect to reduce the number of polymorphisms, have opposite effects on his statistic,  $D^{36}$ . That is, mutation rate has a scaling effect on D such that reduced mutation rates lead to less negative D, whereas purifying selection leads to more negative D. Therefore, analysis of D can be used to quantify the relative importance of these alternative, but not mutually exclusive, forces shaping rates of sequence evolution. D is, on average, negative across the A. *thaliana* genome, and D also scales with mutation rate. Thus, if D is more negative in regions with lower polymorphism, this could indicate that purifying selection

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#### Tajima's *D* in exons

We used Tajima's D to estimate the extent to which mutation bias rather than selection after random mutation could explain differences in rates of natural polymorphism in exons (elevated polymorphism in peripheral exons). We calculated Tajiima's D in every exon and grouped genes according to their total number of exons and plotted the average Tajiima's D in relation to exons ordered from 5' to 3' ends. Tajima's D was consistently more negative in peripheral exons, reflecting the effects of increased population mutation rate in these loci, so we further investigated the underlying causes by testing whether genes with and without (and longer or shorter) UTRs have differences in Tajima's D in peripheral exons. Finally, we asked whether genes with more and longer introns have less negative Tajima's D values, to test whether the lower rates of polymorphism observed in these genes was caused at least in part by reduced mutation rate, rather than selection after random mutation.

# Simulations of mutation bias and selection using SLiM

Our observation that Tajima's *D* is less negative in regions of low polymorphism, such as gene bodies, suggested that the reduced polymorphism therein is caused by a lower mutation rate, consistent with the mutation biases that we discovered in the analysed mutation datasets. To verify this interpretation, we conducted simulations using the software SLiM (v3)<sup>83</sup>. These simulations modelled genic and intergenic space, based explicitly on the first 100 genes on chromosome 1. For each simulation, we modelled a population of 1,000 individuals for 10,000 generations. The selfing rate was assigned to 0.98, a low estimate based on field observations<sup>84,85</sup>. The baseline mutation rate (per base and per generation) was derived from the empirically measured population mutation rate<sup>13</sup> (from  $N_e =$ 

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gene. Counts of polymorphisms and Tajima's *D* were averaged across all genomes in 10-bp windows for regions 3 kb upstream and downstream of the TSS and TTS of each gene. The variation in polymorphism level and Tajima's *D* values were compared with the empirical observations of natural polymorphisms in 1,135 natural *A. thaliana* accessions<sup>66</sup> using Pearson correlation.

# Relationship between mutation probability, epigenomic and other features, and breadth of expression across tissues

Because we found that essential genes have higher levels of epigenomic and other features that lower predicted mutation rates, we wanted to further test the hypothesis that essential housekeeping genes were also enriched for such features and therefore experience a subsequently lower probability of mutation and lower de novo mutation calls. We used gene expression data from 54 tissues<sup>39</sup>. We calculated the correlation between the number of tissues with expression of more than 0 and either the predicted mutation probability score or the observed mutations for each gene. Because these results confirmed that genes expressed in more tissues have lower predicted mutation probability scores, we examined epigenetic features H3K4me1, H3K36me3 and CG methylation, which are enriched in essential genes, finding that genes expressed in all tissues were also enriched for these features.

#### Determining the effect of strong purifying selection on coding sequences

Our results had revealed significant biases in mutation probability in relation to gene bodies. Because we had found that mutations were significantly higher upstream of genes and significantly lower within gene bodies in five independent datasets, we considered the possibility that this overwhelming

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*thaliana*, this served as a positive control for observing the effects of extraordinarily strong selection on the expected distribution of mutations in a random mutation model.

#### Comparing expected and observed levels of synonymous mutation

Because we had observed a significant reduction in mutation rate in coding regions, we wanted to test whether this was driven only by functionally impactful mutation (for example, amino acid substitutions). To do so, we simulated 6,182 random SNVs. For each variant, we asked whether it was found within the coding region of any gene. We counted the total number of coding region variants and multiplied this number with the expected fraction, 0.28, of synonymous variants based on *A*. *thaliana* codon usage and mutation spectrum. We iterated this simulation 100 times to produce a confidence interval of expected synonymous variants in our training set of de novo mutations.

# **Reporting summary**

Further information on research design is available in the Nature Research Reporting Summary linked to this paper.

# Data availability

A complete table of called mutations is available in Supplementary Data 1. Genic feature (that is, upstream, UTR, intron, CDS, and so on) level data (mutation and epigenomic features) are available in

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resequencing MA73 are available under ENA Project PRJEB48100. Variant data of natural *A. thaliana* accessions are available at http://1001genomes.org/data/GMI-MPI/releases/v3.1/. The TAIR10 reference genome and annotation are available at www.arabidopsis.org. The *P. trichocarpa* reference genome, annotation and variant data are available at https://phytozome-

next.jgi.doe.gov/info/Ptrichocarpa\_v3\_1. Chromatin state data are available through the Plant Chromatin State Database (http://systemsbiology.cau.edu.cn/chromstates). Tissue-specific expression data are available at https://www.ebi.ac.uk/arrayexpress/experiments/E-MTAB-7978/. There are no restrictions on the availability of data used in this study.

# **Code availability**

Functions to characterize Tajima's *D* and polymorphisms in relation to TSSs and TTSs are available on GitHub (https://github.com/greymonroe/polymorphology). The annotated code for models and statistical analyses is available on GitHub (https://github.com/greymonroe/mutation\_bias\_analysis).

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## Contributions

All authors contributed to the work presented in this paper. J.G.M. and D.W. conceived the project. M.-L.W., M.T.R. and C.B.F. conducted the mutation accumulation experiments with material from C.B., J.A. and E.I. These lines were sequenced by J.H., M.N. and C.B. T.S. performed ATAC-seq. M.K. and P.C.-B. generated deep resequencing data for siblings. Data analyses were led by J.G.M. with M.-L.W., T.S. and P.C.-B., with major additional contributions from M.L., M.E.-A., M.K., D.K., D.W., C.B.F. and D.W. J.G.M. and D.W. wrote the manuscript with major contributions from T.S., P.C.-B., C.B., M.L., M.E.-A., M.K., D.K., M.-L.W., J.A., M.T.R. and C.B.F. All authors read and provided feedback on the manuscript. Interpretation of data and results were led by J.G.M. and D.W., with major contributions from T.S., P.C.-B., C.B., M.L., M.E.-A., M.K., D.K., M.T.R. and C.B.F.

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# **Ethics declarations**

# **Competing interests**

#### The authors declare no competing interests.

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# **Extended data figures and tables**

# Extended Data Fig. 1 Workflow and quality control of *de novo* mutation identification.

**a**, Filtering pipeline. **b**, High-quality *de novo* mutations called in this study on the original mutation accumulation experiment data (107 replicate lineages of Col-0, total number = mutations from this study plus ref. <sup>12</sup>). **c**, Visualization of raw data and properties of high-confidence set. **d**, Estimated probability of mutation calls being erroneous based on alternative and total read depths in the high-confidence set and variants removed by filtering.

# Extended Data Fig. 2 Summary of observed *de novo* mutations and distribution across original Col-0 mutation accumulation (MA) lines.

**a**, *De novo* mutations detected in genic regions (genes  $\pm$  1,000 bp) in individual MA lines. SNVs in light blue, InDels in magenta. Our investigation was focused on mutations in and around genes, so for clarity mutations elsewhere (i.e., near centromeres) are not shown. **b**, Distribution of mutations across genic regions per 2 Mb windows. Vertical black lines in the lower plot mark the location of genes. **c**,

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# mutations used to predict mutation probabilities.

a, Sequencing depth around transcription start (TSS) and termination (TTS) sites in one randomly chosen mutation accumulation line. **b**, Mappability around TSS TTS site calculated with GenMap<sup>47</sup>. **c**, Rates of false positive SNP and InDel calls around TSS and TTS determined from 1,000 iterations of simulated Illumina reads. d, Simulation of effect of selection on gene bodies. Selection could take the form of mutations being dominant lethal or through somatic competition of mutations with small selection coefficients. 0 = 0%, 0.01 = 1%, 0.1 = 10%, 0.2 = 20%, 0.3 = 30% of gene body mutations removed by purifying selection. 30% is estimated to be the approximate upper bound of constrained sites in gene bodies<sup>34</sup>. e-i, Resequencing of 10 siblings of one MA line from ref. <sup>12</sup>. e, Overview of experimental design for testing the effect of sequencing depth on calling somatic mutations. f, Filtered heterozygous variants (SNVs and InDels) called in sibling #5 sequenced at ~600x depth overlap more with variants called from sibling #5 at  $\sim$ 60x sequencing depth than with other siblings at  $\sim$ 60 sequencing depth. The boxplots show the distribution of 20 iterations of sampling equal numbers of heterozygous variants (to account for differences in total number of variants called in different siblings) for each sibling sequenced at ~60x and compared to sibling 5 sequenced at ~600x. Boxplots show median with maxima and minima reflecting interquartile range (IQR), whiskers = 1.5 \* IQR (n = 20 iterations). g, Frequency distribution of unfiltered heterozygous variants called in 10 siblings sequenced at ~60x depth each. Note that because these siblings are descendants of 25 generations of self-fertilization, the number of true heterozygous (inherited segregating) calls is expected to be very small compared to heterozygous variants that are chimeric somatic mutations. h, Average mappability of variants detected in different numbers of siblings out of the 10 sequenced siblings. i, Variants called independently in one sibling or, less so, in two to four siblings show signatures of mutation bias. In

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400 unique mutation accumulation lines, mean  $\pm 2$  s.e.m.) **c**, Somatic variants detected from reanalysis of 64 individual leaves from two Col-0 plants<sup>21</sup>. **d**, Germline variants detected in a bottlenecked *A*. *thaliana* lineage following colonization of North America since ~1600 (ref. <sup>32</sup>). **e**, Epigenomepredicted and observed mutation rates across all datasets for InDels and SNVs, comparing gene bodies (GB) with upstream/downstream (U/D) regions. P-values from chi-squared tests.

# Extended Data Fig. 5 Relationships between epigenome-predicted mutation probability, observed *de novo* mutations, polymorphisms in natural populations, and Tajima's D in natural populations.

These data show the quantitative relationships apparent in Fig. 2 of the main text. Each point reflects the value in one window of 1,200 calculated windows across all 33,056 genes, in relation to genome-wide transcription start and termination sites (TSS, TTS). Error bars indicate  $\pm 2$  s.e.m. confidence intervals. For epigenome-predicted mutation probability scores, each point reflects the mean  $\pm 2$  s.e.m. across all genes. For observed *de novo* mutations, each point reflects the total number of mutations  $\pm 2$  s.e.m. (bootstrapped). For polymorphisms, each point reflects the total number of variants  $\pm 2$  s.e.m. (bootstrapped). For Tajima's D, each point reflects the mean  $\pm 2$  s.e.m. Tajima's D is already predicted by existing theory to be negatively correlated with mutation rate, as regions with higher mutation rate will be enriched for newer and therefore rarer variants.

# Extended Data Fig. 6 Effects of mutation rate and selection heterogeneity on polymorphisms and Tajima's D along genes.

Simulation results from SLiM<sup>83</sup> for the first 100 genes of chromosome 1 using a population of 1,000

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(TSS, TTS) averaged from 200 permutations of a scenario approximating empirical estimates of mutation rate heterogeneity and selection heterogeneity between gene bodies and intergenic space. Parameters (see **a**) given for each scenario. Strong purifying selection in gene regions alone (with equal mutation rates between gene bodies and intergenic space), which also reduces levels of polymorphism in gene bodies, causes more negative Tajima's D values in gene bodies, which is inconsistent with observed data in natural *A. thaliana* accessions.

# Extended Data Fig. 7 Relationships between untranslated regions or introns and mutation rates.

**a**, Distribution of epigenomic features in genes with different numbers of exons. **b**, Epigenomepredicted Mutation Probability Score (MPS), rates of natural polymorphism, and Tajima's D in genes with different numbers of exons. **c**, Left: comparison of Mutation Probability Score (MPS) between genes with UTRs and those lacking 5' or 3' UTRs. Horizontal lines mark the mean difference between genes with and without UTRs. Vertical lines mark the mean +- confidence intervals of two-sided ttests. Center: rates of natural polymorphism in natural *A. thaliana* accessions. Right: Tajima's D in natural accessions. (n = 35,526 gene models). **d**, Left: Pearson's correlation coefficients for relationship between predicted mutation probabilities and the absolute length of 5' and 3' UTRs. Horizontal lines mark the means, and vertical lines mark the mean +- confidence intervals. Center: same for rates of natural polymorphism in natural accessions. Right: same for Tajima's D in natural accessions. (n = 35,526 gene models). **e**, Left: Relationships between intron number and total intron length with predicted mutation probabilities. Points indicate mean values. Center: same for rates of natural polymorphism in natural accessions. Right: same for rates of natural polymorphism in natural accessions. Right: same for rates of natural polymorphism in natural accessions. Right: same for rates of rates of natural polymorphism in natural accessions. Right: same for rates of rates of natural polymorphism in natural accessions. Right: same for rates of natural accessions. **f**,

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genes binned according to the number of tissues in which they are expressed (n = 25,987 genes with tissue-specific expression data)<sup>39</sup>.

# Extended Data Fig. 9 Predicted mutation rates and evidence of selection on natural polymorphisms versus *de novo* mutations across gene regions.

**a**, Relationship between Tajima's D of gene bodies and coding region selection estimated by  $P_n/P_s$  (n = 21,407 genes) and **b**,  $D_n/D_s$  (n = 21,407 genes). **c**, Epigenome-predicted mutation probability in different gene features. **d**, Scaled residuals ((Obs-Pred)/Pred) from S ~ u. Significantly negative residuals in coding regions are consistent with purifying selection in natural populations acting on new mutations. **e**, Relationships between epigenome-predicted mutation probability and other estimates of constraint. Residuals between predicted mutation rate and observed mutations are positively correlated with predicted mutation rate indicating that genes subject to purifying selection are predicted to mutate less. Genes with low predicted mutation rates are also less likely to have *alpha* > 0, a measure of variants under positive selection. Genes with low predicted mutation rate are depleted in natural populations for non-synonymous variants that reach fixation, as measured by the Neutrality Index, and for loss-of-function variants.

# Extended Data Fig. 10 Estimates of L<sub>segment</sub> for different regions.

**a**, Length of sequence space ( $L_{segment}$ ) reflecting different types of regions. **b**, Test of parameter space that satisfies population genetic theoretical predictions for the possibility for targeted hypomutation to evolve. OOM = orders of magnitude. Selection on intragenomic mutation rate variation will be effective<sup>5,40</sup> when N<sub>e</sub> \* u \* s \* du \* pd \*  $L_{segment} > 1$  where  $N_e$  is the effective population size, *u* is

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regions with elevated levels of H3K4me1 (top quartile is ~13 Mb, or 15% of the genome), a feature enriched in gene bodies and essential genes and associated with lower mutation rate. Thus, selection is expected to act with high efficiency on variants that cause DNA repair and protection mechanisms to preferentially target such regions.

# Supplementary information

# Reporting Summary Peer Review File

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